



**THE FOUNDATION
FOR SECURE
MARKETS®**

#59843

Date: September 28, 2026

Subject: Dimensional US Small Cap Value ETF - Determination of
Deliverable/Position Consolidation
Adjusted Option Symbol: DFSV1
Consolidation Effective Date: 09/29/2026

Adjusted Dimensional US Small Cap Value ETF options were adjusted on September 28, 2026 (See OCC Information Memo #59827). The new deliverable became 1) A number of U.S. Small Cap Value Portfolio ETF (DFSV) Shares, equivalent to the value of the existing DFSV shares, as described in the Information Statement, x 100 and 2) Cash in lieu of fractional DFSV Shares, if any. Settlement of the DFSV and cash components of DFSV1 options exercise/assignment activity were subject to delayed settlement.

OCC has been informed that the final distribution ratio is 1.0 (New) DFSV share per (Old) DFSV share held. Therefore, the deliverable for DFSV1 options is now 100 U.S. Small Cap Value Portfolio ETF (DFSV) Shares.

The U.S. Small Cap Value Portfolio ETF options (option symbol DFSV) also deliver 100 U.S. Small Cap Value Portfolio ETF (DFSV) Shares. Consequently, OCC will consolidate all DFSV1 options into DFSV, thereafter eliminating trading symbol DFSV1. The consolidation will be effective before the opening of business on September 29, 2026.

The position consolidation will take place after all positions processing Monday, September 28, 2026 and before the start of business on Tuesday, September 29, 2026.

All clearing Member input of existing DFSV1 options must use symbol DFSV for activity commencing Tuesday, September 29, 2026.

Contract Adjustment

Effective Date: September 29, 2026

Option Symbol: DFSV1 changes to DFSV

Strike Divisor: 1

Contract Multiplier: 1

New Multiplier: 100 (e.g., a premium of 1.50 yields \$150)

New Deliverable

Per Contract: 100 U.S. Small Cap Value Portfolio ETF (DFSV) Shares

CUSIP: DFSV: 25239Y170

Disclaimer

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and futures and the nature of any adjustment is made by OCC pursuant to Chapter 28 (XXVIII) of OCC's Rules. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, please email the Investor Education team at options@theocc.com. Clearing Member Firms of OCC may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.