



**THE FOUNDATION
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MARKETS®**

#59827

Date: September 25, 2026

Subject: Dimensional US Small Cap Value ETF - Contract Adjustment
Option Symbol: DFSV
New Symbol: DFSV1
Date: 09/28/2026

Dimensional ETF Trust has announced a reorganization of Dimensional US Small Cap Value ETF (DFSV) whereby each DFSV Share will be converted into the right to receive a number of the acquiring fund, U.S. Small Cap Value Portfolio ETF, a series of DFA Investment Dimensions Group Inc. As a result, each existing DFSV share will be converted into the right to receive a number of (New) U.S. Small Cap Value Portfolio ETF shares equivalent to the value of the existing DFSV shares, as described in the DFSV Information Statement dated August 17, 2026 ("Information Statement"). The reorganization will become effective before the open on September 28, 2026. At the effective time of the merger, U.S. Small Cap Value Portfolio ETF Shares will use the symbol from Dimensional US Small Cap Value ETF and will be listed on the New York Stock Exchange under the trading symbol "DFSV". Cash will be paid in lieu of fractional DFSV shares, if any.

Contract Adjustment

Date: September 28, 2026

Option Symbol: DFSV changes to DFSV1

Strike Divisor: 1

Contracts Multiplier: 1

New Multiplier: 100 (e.g., a premium of 1.50 yields \$150; a strike of 41 yields \$4,100.00)

New Deliverable Per Contract:

- 1) A number of U.S. Small Cap Value Portfolio ETF (DFSV) Shares, equivalent to the value of the existing DFSV shares, as described in the Information Statement, x 100
- 2) Cash in lieu of fractional DFSV Shares, if any

Note: Once determined the cash in lieu of fractional share portion of the option deliverable remains fixed and does not vary with price changes of any security.

CUSIP: (New) DFSV: 25239Y170

Delayed Settlement

OCC will delay settlement of the DFSV component and cash portion, if any, of the DFSV1 deliverable until the final merger consideration and cash in lieu of fractional DFSV shares, if any, are determined. Upon determination of the final merger consideration and cash in lieu amount, if any, OCC will require Put exercisers and Call assignees to deliver the appropriate number of DFSV shares and the appropriate cash amount, if any.

Disclaimer

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, please email the Investor Education team at options@theocc.com. Clearing Member Firms of OCC may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.