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#59741

Date: September 11, 2026

Subject: LOPR Processing - DDS Enhancement

Effective 9/28/26, OCC will enhance the Large Options Position Reporting (LOPR) DDS to include a new enumeration to the instrument subtype (tag 762). The new enumeration will be “NOC”, and will be used to report LOPR positions cleared outside of OCC.

By including the new enumeration, firms will have the ability to report LOPR on options cleared at clearinghouses outside of OCC. The following LOPR transmissions will be updated:

- ☐ Position Maintenance Request – Large Options Positions Report Submission
- ☐ Position Maintenance Report – Rejected LOPR Submissions
- ☐ Position Report – Large Options Positions Report

The updated Large Options Positions Reporting (LOPR) Reference Guide for LOPR Firms (v2.7) has been posted to the OCC website and can be accessed here: [ENCORE LOPR Reference Guide for LOPR Firms](#)

Testing of the enhancement will be available beginning 9/21/2026. LOPR Submitters that intend to test should submit a test request here: [OCC - Certification Testing Request](#).

If you have any questions, please contact your Clearing Member representative or the Member Services Help Desk at the following numbers: 800-621-6072 or 800-544-6091. Within Canada, please call 800-424-7320. Clearing Members may also e-mail us at memberservices@theocc.com.