



**THE FOUNDATION
FOR SECURE
MARKETS®**

#59461

Date: July 28, 2026

Subject: Capricor Therapeutics, Inc. – Flex Position Consolidation
Option Symbol: 1CAPR
Effective Date: 07/29/2026

The Options Clearing Corporation (OCC) will consolidate certain Capricor Therapeutics, Inc. flex series from 1CAPR to CAPR as indicated below. OCC will consolidate these series to be effective at the opening of business on July 29, 2026

Effective: July 29, 2026

Name	Old Symbol	New Symbol	Series
Capricor Therapeutics, Inc.	1CAPR	CAPR	C 8/21/2026 8

Disclaimer

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and futures and the nature of any adjustment is made by OCC pursuant to Chapter 28 (XXVIII) of OCC's Rules. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, please email the Investor Education team at options@theocc.com. Clearing Member Firms of OCC may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.