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**#59167**

**Date:** June 15, 2026

**Subject:** Assertio Holdings, Inc. - Settlement Update  
Option Symbols: ASRT/ASRT1  
Date: 06/15/2026  
\* \* \* Effective Immediately \* \* \*

Effective on June 12, 2026, settlement of ASRT/ASRT1 options exercise/assignment activity was subject to broker to broker settlement (see OCC Information Memo #59160). ASRT shares (CUSIP 04546C304) are now eligible for Continuous Net Settlement ("CNS") at the National Securities Clearing Corporation ("NSCC"). As a result, all exercise and assignment activity for ASRT/ASRT1 options as of June 15, 2026 and thereafter for ASRT/ASRT1 options will no longer be subject to broker to broker settlement and will settle through NSCC.

Any existing broker to broker obligations on ASRT/ASRT1 exercise and assignment on June 12, 2026 will remain subject to broker to broker settlement.

The deliverable for ASRT options will remain the underlying 100 Assertio Holdings, Inc. Class A Common Shares.

The deliverable for ASRT1 options contracts will remain the underlying 1) 6 Assertio Holdings, Inc. Common Shares, and 2) \$6.60 Cash.

**Disclaimer**

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and futures and the nature of any adjustment is made by OCC pursuant to Chapter 28 (XXVIII) of OCC's Rules. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

**ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.**

For questions regarding this memo, please email the Investor Education team at [options@theocc.com](mailto:options@theocc.com). Clearing Member Firms of OCC may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email [memberservices@theocc.com](mailto:memberservices@theocc.com).