



**THE FOUNDATION
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MARKETS®**

#55901

Date: January 14, 2025

Subject: SPI Energy Co., Ltd. - Symbol Change
Option Symbol: SPI
New Symbol: SPIEF
Date: 01/15/2025

SPI Energy Co., Ltd. (SPI) will change its trading symbol to SPIEF effective January 15, 2025, due to the listing of the company on an OTC market. As a result, option symbol SPI will change to SPIEF effective at the opening of business on January 15, 2025.

Strike prices and all other option terms will not change. Clearing Member input to OCC must use the new option symbol SPIEF commencing January 15, 2025.

Date: January 15, 2025

Option Symbol: SPI changes to SPIEF

Underlying Security: SPI changes to SPIEF

Contract Multiplier: 1

Strike Divisor: 1

New Multiplier: 100

Deliverable Per Contract: 100 SPI Energy Co., Ltd. (SPIEF) Ordinary Shares

CUSIP: G8651P110

Disclaimer

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, please email the Investor Education team at options@theocc.com. Clearing Member Firms of OCC may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.