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#55304

Date: October 01, 2024

Subject: Upexi, Inc. - Reverse Split
Option Symbol: UPXI
New Symbol: UPXI1
Date: 10/03/2024

Upexi, Inc. (UPXI) has announced a 1-for-20 reverse stock split. As a result of the reverse stock split, each UPXI Common Share will be converted into the right to receive 0.05 (New) Upexi, Inc. Common Shares. The reverse stock split will become effective before the market open on October 3, 2024.

Contract Adjustment

Effective Date: October 3, 2024

Option Symbol: UPXI changes to UPXI1

Contract Multiplier: 1

Strike Divisor: 1

New Multiplier: 100 (e.g., for premium or strike dollar extensions 1.00 will equal \$100)

New Deliverable Per Contract: 5 (New) Upexi, Inc. (UPXI) Common Shares

CUSIP: UPXI (New): 39959A205

Pricing

The underlying price for UPXI1 will be determined as follows:

$$\text{UPXI1} = 0.05 (\text{UPXI})$$

Disclaimer

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information concerning this corporate event(s).

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ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, please email the Investor Education team at options@theocc.com. Clearing Member Firms of OCC may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.