



**Date:** SEPTEMBER 20, 2024

**Subject:** Trading Halt/Removal From Ex-By-Ex Processing/Expiration Summary

**EXPIRING SERIES OF THE OPTIONS LISTED BELOW WILL NOT BE SUBJECT TO OCC'S AUTOMATIC EXERCISE THRESHOLDS IN STANDARD SEPTEMBER 2024 EXPIRATION PROCESSING.**

Holders of long positions in these affected options will need to make independent determinations of the value of the option deliverable in deciding to exercise, or not exercise, any expiring long position. If positive instructions to exercise are not submitted to OCC by the clearing member, expiring positions will not be exercised.

Option Symbol	Security	Reason Out Of Ex-By-Ex
ARAV	Aravive, Inc. (ARAV) Common Shares	Security not trading
ASLN/ASLN1	ASLAN Pharmaceuticals Limited (ASLN) American Depositary Shares	Security not trading
MOR	MorphoSys AG (MOR) American Depositary Shares	Security not trading

MEMBERS SHOULD ADVISE THEIR CUSTOMERS TO TAKE THE FOLLOWING CONSIDERATIONS INTO ACCOUNT IN DECIDING TO EXERCISE, OR NOT TO EXERCISE, THESE OPTIONS.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, please email the Investor Education team at [options@theocc.com](mailto:options@theocc.com). Clearing Member Firms of OCC may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email [memberservices@theocc.com](mailto:memberservices@theocc.com).