



Date: June 04, 2024
Subject: OCC Fee Schedule Changes

Effective June 12, 2024, OCC will make changes to its schedule of fees, subject to the completion of all relevant regulatory processes. The changes are described in regulatory filings submitted by OCC to the Securities and Exchange Commission (“SEC”) and Commodity Futures Trading Commission (“CFTC”) and include updating the Options Disclosure Document (“ODD”) fee and making certain other changes, including allowing OCC to charge applicable taxes and removing language related to authorization stamp fees.¹

The revised schedule of fees is included below with additions underlined and deletions in strikethrough text. For the avoidance of doubt, all orders for the ODD placed on or after June 12, 2024, will be charged the revised fee of \$0.95.

UPDATED SCHEDULE OF FEES²

| Current | Revised |
|--|---|
| Clearing Member Authorization Stamp \$23.00/stamp | Clearing Member Authorization Stamp \$23.00/stamp |
| Disclosure Documents \$0.45 | <u>Options</u> Disclosure Documents <u>\$0.45</u> .95 |

If you have any questions, please contact your Clearing Member representative or the Member Services Help Desk at 800-621-6072. Within Canada, please call 800-424-7320. You may also e-mail us at memberservices@theocc.com.

¹ For additional information see SR-OCC-2024-007 filed with the SEC and submission no. 2405-2411-0257-97 filed with the CFTC.

² OCC may also charge state sales or use tax when due in connection with any of the listed fees in the schedule, as described in the regulatory filings.