



**Date:** March 13, 2024

**Subject:** Acceleration of Expirations/March 2024 Expiration

Pursuant to OCC Rule 807, equity stock option contracts whose deliverables are adjusted to call for cash-only delivery will be subject to **an acceleration of the expiration dates for outstanding option series**. Additionally, **the exercise by exception (“ex by ex”) threshold for expiring series will be \$.01 in all account types for all expiration months of the adjusted options subject to accelerated expiration**.

As indicated below, a number of equity options that have been adjusted for cash-only delivery will have their series expiration dates advanced to **March 15, 2024**. These series will utilize the \$.01 exercise threshold.

<u>Company Name (Info Memo #)</u>	<u>Symbol</u>	<u>Expiration Date</u>	<u>New Expiration Date</u>	<u>Threshold</u>
Sovos Brands, Inc. (54287)	SOVO	All Months	3/15/24	\$0.01
Harpoon Therapeutics, Inc. (54282)	HARP	All Months	3/15/24	\$0.01
Adjusted Harpoon Therapeutics, Inc. (54281)	HARP1	All Months	3/15/24	\$0.01
Ambrx Biopharma Inc. (54268)	AMAM	All Months	3/15/24	\$0.01
Rareview InflationDeflation ETF (54237)	FLTN	All Months	3/15/24	\$0.01
Arrow Reverse Cap 500 ETF (54233)	YPS	All Months	3/15/24	\$0.01
AXS Cannabis ETF (54232)	THCX	All Months	3/15/24	\$0.01
Gracell Biotechnologies Inc. (54221)	GRCL	All Months	3/15/24	\$0.01
SoFi Smart Energy ETF (54208)	ENRG	All Months	3/15/24	\$0.01
SoFi Web 3 ETF (54207)	TWEB	All Months	3/15/24	\$0.01
Rover Group, Inc. (54201)	ROVR	All Months	3/15/24	\$0.01
Global X China Biotech Innovation ETF (54200)	CHB	All Months	3/15/24	\$0.01
Inverse Cramer Tracker ETF (54199)	SJIM	All Months	3/15/24	\$0.01
RayzeBio, Inc. (54194)	RYZB	All Months	3/15/24	\$0.01
Global X Cannabis ETF (54192)	POTX	All Months	3/15/24	\$0.01
Global X MSCI China Real Estate ETF (54191)	CHIR	All Months	3/15/24	\$0.01
Global X MSCI China Materials ETF (54190)	CHIM	All Months	3/15/24	\$0.01
Global X MSCI China Financials ETF (54189)	CHIX	All Months	3/15/24	\$0.01

Global X Metaverse ETF (54188)	VR	All Months	3/15/24	\$0.01
Global X MSCI China Consumer Staples ETF (54187)	CHIS	All Months	3/15/24	\$0.01
Global X MSCI China Energy ETF (54186)	CHIE	All Months	3/15/24	\$0.01
Global X MSCI China Communication Services ETF (54185)	CHIC	All Months	3/15/24	\$0.01
Global X MSCI China Information Technology ETF (54184)	CHIK	All Months	3/15/24	\$0.01
Global X MSCI China Industrials ETF (54183)	CHII	All Months	3/15/24	\$0.01
Global X Carbon Credits Strategy ETF (54182)	NTRL	All Months	3/15/24	\$0.01
IronNet, Inc. (54177)	IRNTQ	All Months	3/15/24	\$0.01
Icosavax, Inc. (54155)	ICVX	All Months	3/15/24	\$0.01
QRAFT AI-Enhanced US Next Value ETF (54149)	NVQ	All Months	3/15/24	\$0.01
Adjusted Eargo, Inc. (54140)	EAR1	All Months	3/15/24	\$0.01
Theseus Pharmaceuticals, Inc. (54120)	THRX	All Months	3/15/24	\$0.01

### **Disclaimer**

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, please email the Investor Education team at [options@theocc.com](mailto:options@theocc.com). Clearing Member Firms of OCC may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email [memberservices@theocc.com](mailto:memberservices@theocc.com).