



**THE FOUNDATION
FOR SECURE
MARKETS®**

#54132

Date: February 14, 2024

Subject: Sunworks, Inc. - Symbol Change
Option Symbol: SUNW
New Symbol: SUNWQ
Date: 02/15/2024

Sunworks, Inc. (SUNW) will change its trading symbol to SUNWQ effective February 15, 2024, due to the listing of the company on an OTC market. As a result, option symbol SUNW will change to SUNWQ effective at the opening of business on February 15, 2024.

Strike prices and all other option terms will not change. Clearing Member input to OCC must use the new option symbol SUNWQ commencing February 15, 2024.

Date: February 15, 2024

Option Symbol: SUNW changes to SUNWQ

Underlying Security: SUNW changes to SUNWQ

Contract Multiplier: 1

Strike Divisor: 1

New Multiplier: 100

Deliverable Per Contract: 100 Sunworks, Inc. (SUNWQ) Common Shares

CUSIP: 86803X204

Disclaimer

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, please email the Investor Education team at options@theocc.com. Clearing Member Firms of OCC may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.