

#53828

Date:

December 21, 2023

Subject: Adjusted Mullen Automotive, Inc. - Position Consolidation Adjusted Option Symbol: MULN3 Consolidation Effective Date: 12/26/2023

On December 21, 2023, the deliverable of adjusted Mullen Automotive, Inc. (adjusted option symbol MULN3) options were adjusted (See OCC Information Memo #53814). The new deliverable became 1 Mullen Automotive, Inc. (MULN) Common Shares.

Mullen Automotive, Inc. (option symbol MULN1) options also deliver 1 Mullen Automotive, Inc. (MULN) Common Shares. Consequently, OCC will consolidate all MULN3 options into MULN1, thereafter eliminating the trading symbol MULN3. The consolidation will be effective before the opening of business on December 26, 2023.

The position consolidation will take place after all positions processing Friday, December 22, 2023 and before the start of business on Tuesday, December 26, 2023.

All Clearing Member input of existing MULN3 options must use symbol MULN1 for activity commencing Tuesday, December 26, 2023.

Contract Adjustment

Effective Date:	December 26, 2023
Option Symbol:	MULN3 becomes MULN1
Contract Multiplier:	1
Strike Divisor:	1
New Multiplier:	100 (e.g., for premium or strike dollar extensions 1.00 will equal \$100)
New Deliverable Per Contract:	1 Mullen Automotive, Inc. (MULN) Common Shares
CUSIP:	62526P406

Disclaimer

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email <u>investorservices@theocc.com</u>. Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email <u>memberservices@theocc.com</u>.