



**THE FOUNDATION
FOR SECURE
MARKETS**

#53567

Date: November 15, 2023

Subject: Acceleration of Expirations/November 2023 Expiration

Pursuant to OCC Rule 807, equity stock option contracts whose deliverables are adjusted to call for cash-only delivery will be subject to **an acceleration of the expiration dates for outstanding option series.** Additionally, **the exercise by exception (“ex by ex”) threshold for expiring series will be \$.01 in all account types for all expiration months of the adjusted options subject to accelerated expiration.**

As indicated below, a number of equity options that have been adjusted for cash-only delivery will have their series expiration dates advanced to **November 17, 2023**. These series will utilize the \$.01 exercise threshold.

<u>Company Name (Info Memo #)</u>	<u>Symbol</u>	<u>Expiration Date</u>	<u>New Expiration Date</u>	<u>Threshold</u>
Adjusted Blue Apron Holdings, Inc. (53546)	APRN1	All Months	11/17/23	\$0.01
NextGen Healthcare, Inc. (53531)	NXGN	All Months	11/17/23	\$0.01
Intercept Pharmaceuticals, Inc. (53519)	ICPT	All Months	11/17/23	\$0.01
New Relic, Inc. (53518)	NEWR	All Months	11/17/23	\$0.01
Ares Acquisition Corporation (53515)	AAC	All Months	11/17/23	\$0.01
Avid Technology, Inc. (53508)	AVID	All Months	11/17/23	\$0.01
Tabula Rasa HealthCare, Inc. (53488)	TRHC	All Months	11/17/23	\$0.01
KraneShares Emerging Markets Healthcare Index ETF (53467)	KMED	All Months	11/17/23	\$0.01
KraneShares CICC China Leaders 100 Index ETF (53466)	KFYP	All Months	11/17/23	\$0.01
WisdomTree Chinese Yuan Strategy Fund (53458)	CYB	All Months	11/17/23	\$0.01
Fiesta Restaurant Group, Inc. (53453)	FRGI	All Months	11/17/23	\$0.01
Principal Millennial Global Growth ETF (53427)	GENY	All Months	11/17/23	\$0.01
Strategy Shares Halt Climate Change ETF (53425)	NZRO	All Months	11/17/23	\$0.01
PFSweb, Inc. (53422)	PFSW	All Months	11/17/23	\$0.01
SciPlay Corporation (53420)	SCPL	All Months	11/17/23	\$0.01
AdvisorShares Let Bob AI Powered Momentum ETF (53417)	LETB	All Months	11/17/23	\$0.01

CIRCOR International, Inc. (53391)	CIR	All Months	11/17/23	\$0.01
eMagin Corporation (53390)	EMAN	All Months	11/17/23	\$0.01
Churchill Capital Corp V (53389)	CCV	All Months	11/17/23	\$0.01

Disclaimer

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, please email the Investor Education team at options@theocc.com. Clearing Member Firms of OCC may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.