



**THE FOUNDATION
FOR SECURE
MARKETS**

#53393

Date: October 18, 2023

Subject: Acceleration of Expirations/October 2023 Expiration

Pursuant to OCC Rule 807, equity stock option contracts whose deliverables are adjusted to call for cash-only delivery will be subject to **an acceleration of the expiration dates for outstanding option series.** Additionally, **the exercise by exception (“ex by ex”) threshold for expiring series will be \$.01 in all account types for all expiration months of the adjusted options subject to accelerated expiration.**

As indicated below, a number of equity options that have been adjusted for cash-only delivery will have their series expiration dates advanced to **October 20, 2023**. These series will utilize the \$.01 exercise threshold.

<u>Company Name (Info Memo #)</u>	<u>Symbol</u>	<u>Expiration Date</u>	<u>New Expiration Date</u>	<u>Threshold</u>
Heritage-Crystal Clean, Inc. (53384)	HCCI	All Months	10/20/23	\$0.01
Thorne HealthTech, Inc. (53377)	THRN	All Months	10/20/23	\$0.01
Activision Blizzard, Inc. (53363)	ATVI	All Months	10/20/23	\$0.01
Party City Holdco Inc. (53362)	PRTYQ	All Months	10/20/23	\$0.01
Venator Materials PLC (53359)	VNTRQ	All Months	10/20/23	\$0.01
Zynerba Pharmaceuticals, Inc. (53347)	ZYNE	All Months	10/20/23	\$0.01
National Instruments Corporation (53346)	NATI	All Months	10/20/23	\$0.01
Horizon Therapeutics Public Limited Company (53326)	HZNP	All Months	10/20/23	\$0.01
Kaleyra, Inc. (53318)	KLR	All Months	10/20/23	\$0.01
PLx Pharma Inc. (53305)	PLXPQ	All Months	10/20/23	\$0.01
Bed Bath & Beyond Inc. (53304)	BBBYQ	All Months	10/20/23	\$0.01
PhenomeX Inc. (53303)	CELL	All Months	10/20/23	\$0.01
VanEck China Growth Leaders ETF (53298)	GLCN	All Months	10/20/23	\$0.01
Syneos Health, Inc. (53274)	SYNH	All Months	10/20/23	\$0.01
Tata Motors Ltd. (53272)	TTM/2TTM	All Months	10/20/23	\$0.01
Reata Pharmaceuticals, Inc. (53263)	RETA	All Months	10/20/23	\$0.01
Decibel Therapeutics, Inc. (53252)	DBTX	All Months	10/20/23	\$0.01
Radius Global Infrastructure, Inc. (53237)	RADI	All Months	10/20/23	\$0.01
Paratek Pharmaceuticals, Inc. (53236)	PRTK	All Months	10/20/23	\$0.01
Adjusted Black Knight, Inc. (53175)	ICE1	All Months	10/20/23	\$0.01

Disclaimer

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, please email the Investor Education team at options@theocc.com. Clearing Member Firms of OCC may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.