



**THE FOUNDATION  
FOR SECURE  
MARKETS**

#53160

**DATE:** September 08, 2023  
**SUBJECT:** STOCK RESTRICTION (SURF)

Due to a trading halt, as of Friday, September 8, 2023, and until further notice Surface Oncology, Inc. (CUSIP 86877M209) is no longer eligible for stock loan or collateral pledge at OCC. Only share reducing transactions will be allowed on outstanding stock loan and borrow positions. Any equity pledges for collateral purposes will receive no value. If you have any questions regarding this memo, please contact your firm's designated credit risk representative.