

#52907

Date: July 25, 2023

Subject: 9 Meters Biopharma, Inc. - Symbol Change

Option Symbol: NMTR New Symbol: NMTRQ Date: 07/26/2023

9 Meters Biopharma, Inc. (NMTR) will change its trading symbol to NMTRQ effective July 26, 2023, due to the listing of the company on an OTC market. As a result, option symbol NMTR will change to NMTRQ effective at the opening of business on July 26, 2023.

Strike prices and all other option terms will not change. Clearing Member input to OCC must use the new option symbol NMTRQ commencing July 26, 2023.

**Date:** July 26, 2023

Option Symbol: NMTR changes to NMTRQ

Underlying

Security: NMTR changes to NMTRQ

Contract

Multiplier: 1

Strike Divisor: 1

New Multiplier: 100

Deliverable

Per Contract: 100 9 Meters Biopharma, Inc. (NMTRQ) Common Shares

**CUSIP**: 654405208

## Disclaimer

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC

By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, please email the Investor Education team at options@theocc.com. Clearing Member Firms of OCC may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.