



Date: October 19, 2022

Subject: Ozon Holdings PLC – Anticipated Settlement Update
Option Symbol: OZON

Effective March 16, 2022, the National Securities Clearing Corporation (“NSCC”) no longer accepted OZON exercise and assignment activity for settlement. As a result, all exercise and assignment activity for OZON options beginning March 16, 2022, was subject to broker-to-broker settlement, as stated in OCC Information Memos #50190, #50210, and #50787. On July 22, 2022, the Office of Foreign Assets Control of the U.S. Department of Treasury published Russia-related General License 45, which permitted, through 12:01 a.m. Eastern Daylight Time on October 20, 2022, certain transactions that are ordinarily incident and necessary to the wind down of financial contracts or other agreements that were entered into on or before June 6, 2022, and involve or are linked to debt or equity securities issued by an entity in the Russian Federal. **Due to the expiration of Russia-related General License 45 at 12:01 a.m. Eastern Daylight Time on October 20, 2022, it is anticipated that all OZON exercise and assignment activity, including exercises and assignments made prior to October 20, 2022 that have not settled on a broker-to-broker basis, will be subject to delayed settlement.** In the event that General License 45 is not extended, OCC may at a future date fix a cash settlement price in respect of OZON shares for exercise and assignment purposes.

It is OCC’s understanding that transfer of OZON shares will not be permissible as of October 20, 2022. Clearing Members that have OZON exercise and assignment obligations that were subject to broker-to-broker settlement and for which settlement was completed prior to October 20, 2022, are reminded to mark such obligations as settled on OCC’s broker-to-broker screen as soon as possible if they have not yet done so.

Disclaimer

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, please email the Investor Education team at options@theocc.com. Clearing Member Firms of OCC may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.