



**THE FOUNDATION
FOR SECURE
MARKETS**

#51022

Date: September 14, 2022

Subject: Acceleration of Expirations/September 2022 Expiration

Pursuant to OCC Rule 807, equity stock option contracts whose deliverables are adjusted to call for cash-only delivery will be subject to **an acceleration of the expiration dates for outstanding option series**. Additionally, **the exercise by exception ("ex by ex") threshold for expiring series will be \$.01 in all account types for all expiration months of the adjusted options subject to accelerated expiration**.

As indicated below, a number of equity options that have been adjusted for cash-only delivery will have their series expiration dates advanced to **September 16, 2022**. These series will utilize the \$.01 exercise threshold.

<u>Company Name (Info Memo #)</u>	<u>Symbol</u>	<u>Expiration Date</u>	<u>New Expiration Date</u>	<u>Threshold</u>
ION Geophysical Corporation (51012)	IOGPQ	All Months	9/16/22	\$0.01
Hemisphere Media Group, Inc. (51011)	HMTV	All Months	9/16/22	\$0.01
E.Merge Technology Acquisition Corporation (51009)	ETAC	All Months	9/16/22	\$0.01
Mandiant, Inc. (51005)	MNDT	All Months	9/16/22	\$0.01
AlphaClone Alternative Alpha ETF (50975)	ALFA	All Months	9/16/22	\$0.01
Defiance Next Gen SPAC Derived ETF (50974)	SPAK	All Months	9/16/22	\$0.01
Defiance Next Gen Altered Experience ETF (50968)	PSY	All Months	9/16/22	\$0.01
AutoWeb, Inc. (50967)	AUTO	All Months	9/16/22	\$0.01
Plantronics, Inc. (50943)	POLY	All Months	9/16/22	\$0.01
FAST Acquisition Corporation (50941)	FST	All Months	9/16/22	\$0.01
iShares Evolved U.S. Consumer Staples ETF (50934)	IECS	All Months	9/16/22	\$0.01
iShares Evolved U.S. Financials ETF (50933)	IEFN	All Months	9/16/22	\$0.01
iShares Evolved U.S. Healthcare Staples ETF (50932)	IEHS	All Months	9/16/22	\$0.01
iShares Evolved U.S. Media and Entertainment ETF (50931)	IEME	All Months	9/16/22	\$0.01
iShares Evolved U.S. Innovative Healthcare ETF (50930)	IEIH	All Months	9/16/22	\$0.01
Sharps Compliance Corporation (50917)	SMED	All Months	9/16/22	\$0.01
Cedar Realty Trust, Inc. (50916)	CDR	All Months	9/16/22	\$0.01

La Jolla Pharmaceutical Company (50912)	LJPC	All Months	9/16/22	\$0.01
Morgan Creek – Exos SPAC Originated ETF (50906)	SPXZ	All Months	9/16/22	\$0.01
Blueknight Energy Partners, L.P. (50891)	BKEP	All Months	9/16/22	\$0.01
RedBall Acquisition Corporation (50888)	RBAC	All Months	9/16/22	\$0.01
Turning Point Therapeutics, Inc. (50883)	TPTX	All Months	9/16/22	\$0.01
Ribbit LEAP Ltd. (50880)	LEAP	All Months	9/16/22	\$0.01

Disclaimer

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, please email the Investor Education team at options@theocc.com. Clearing Member Firms of OCC may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.