

#50928

DATE: August 25, 2022

SUBJECT: STOCK RESTRICTION (CREG and STAB)

Due to trading halts, as of Thursday, August 25, 2022, and until further notice, Smart Powerr Corp (CUSIP 168913309) and Statera BioPharma, Inc. (CUSIP 857561104) are no longer eligible for stock loan or collateral pledge at OCC. Only share reducing transactions will be allowed on outstanding stock loan and borrow positions. Any equity pledges for collateral purposes will receive no value. If you have any questions regarding this memo, please contact your firm's designated credit risk representative.