



**THE FOUNDATION  
FOR SECURE  
MARKETS**

**#50648**

**Date:** June 27, 2022

**Subject:** OCC Clearing Member Cyber Attestation Program

OCC is implementing a Clearing Member Cybersecurity Attestation Program which is an information security risk management program designed to gain assurance that Clearing Members are utilizing an industry-accepted cybersecurity framework to govern their cybersecurity risk management program. It leverages current regulatory or standards-setting body frameworks and does not set any new control standards. This program is the same program adopted by The Depository Trust and Clearing Corporation. Rule 219 of the OCC Rules outlines the requirements of the program.

Clearing Members must provide contact information of a designated control officer who is responsible for their firm's cyber security program. OCC will send a Cyber Attestation Form to operations managers which must be completed, signed by the control officer and returned to OCC by January 13, 2023.

If you have any questions, please contact your Clearing Member representative or the Member Services Help Desk at the following numbers: 800-621-6072 or 800-544-6091. Within Canada, please call 800-424-7320. Clearing Members may also e-mail us at [memberservices@theocc.com](mailto:memberservices@theocc.com).