



**THE FOUNDATION  
FOR SECURE  
MARKETS**

#49785

**Date:** DECEMBER 17, 2021

**Subject:** Trading Halt/Removal From Ex-By-Ex Processing/Expiration Summary

**EXPIRING SERIES OF THE OPTIONS LISTED BELOW WILL NOT BE SUBJECT TO OCC'S AUTOMATIC EXERCISE THRESHOLDS IN STANDARD DECEMBER 2021 EXPIRATION PROCESSING.**

Holders of long positions in these affected options will need to make independent determinations of the value of the option deliverable in deciding to exercise, or not exercise, any expiring long position. If positive instructions to exercise are not submitted to OCC by the clearing member, expiring positions will not be exercised.

Option Symbol	Security	Reason Out Of Ex-By-Ex
DOFQ1	Diamond Offshore Drilling, Inc. Warrants	Security not trading
ARD	Ardagh Group S.A. (ARD) Class A Common Shares	Security not trading
VEDL	Vedanta Limited (VEDL) American Depositary Receipts	Security not trading

MEMBERS SHOULD ADVISE THEIR CUSTOMERS TO TAKE THE FOLLOWING CONSIDERATIONS INTO ACCOUNT IN DECIDING TO EXERCISE, OR NOT TO EXERCISE, THESE OPTIONS.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email [investorservices@theocc.com](mailto:investorservices@theocc.com). Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email [memberservices@theocc.com](mailto:memberservices@theocc.com).