



**THE FOUNDATION
FOR SECURE
MARKETS**

#49287

Date: September 21, 2021

Subject: Adjusted Actua Corporation – Further Adjustment/Liquidation
Adjusted Option Symbol: ACTA1
Date: 04/20/2018
* * * Update – Distribution * * *

Adjusted Actua Corporation (adjusted symbol ACTA1) options were adjusted on February 2, 2018 (See OCC Information Memo #42509). The new deliverable became 1) 100 Actua Corporation (ACTA) Common Shares, and 2) \$1,489.00 Cash.

On April 18, 2018, Shareholders of Actua Corporation (ACTA) voted concerning the proposed Plan of Complete Liquidation and Dissolution (“Plan”). Under the Plan, all ACTA shares were cancelled, and ACTA anticipates that there may be future liquidating distributions associated with the Dissolution, depending on whether ACTA becomes subject to any additional liabilities or claims. The Plan was approved, and, subsequently, the Dissolution became effective on April 19, 2018. As a result, each existing ACTA Common Share was converted into the right to receive an amount of cash equal to the sum of all future liquidating distributions per share, if any, as described in the ACTA Proxy Statement dated March 19, 2018 (“Proxy”).

A liquidating distribution of \$0.43 per ACTA Common Share was paid on June 28, 2018 to holders of record as of June 25, 2018. (See OCC Information Memo #43336). A second liquidating distribution of \$0.15 per ACTA share was paid on October 18, 2018 to holders of record as of October 15, 2018. (See OCC Information Memo #43892). A third liquidating distribution of \$1.36 per ACTA share was paid on August 2, 2019 to holders of record as of July 29, 2019. (See OCC Information Memo #45531). A fourth liquidating distribution of \$0.75 per ACTA share was paid on February 7, 2020 to holders of record as of February 4, 2020. (See OCC Information Memo #46493). **A fifth liquidating distribution of \$0.04 per ACTA share was made with a pay date of September 10, 2021 and record date of September 7, 2021. Adjusted ACTA1 options will be further adjusted to reflect the distribution as described below.**

ACTA1 options exercise and assignment activity has been subject to delayed settlement since April 20, 2018 and will remain delayed until it can be confirmed that all liquidating distributions, as described in the ACTA Plan of Complete Liquidation and Dissolution, have been made.

Contract Adjustment

The option symbol ACTA1 will not change.

Date: April 20, 2018

New Deliverable
Per Contract: \$1,762.00 Cash (\$1,489.00 + \$43.00 + \$15.00 + \$136.00 + \$75.00 + \$4.00) plus any future liquidating distribution(s) amount, if any, received

by ACTA shareholders (x100) as described in the Proxy, less withholdings, if any.

Settlement:

ACTA1 options will be subject to delayed settlement, pending the determination of the final distribution amount(s). Settlement in ACTA1 options will take place through OCC's cash settlement system. Settlement will be accomplished by payment of the difference between the extended strike amount and the cash deliverable.

Disclaimer

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email investorservices@theocc.com. Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.