



**THE FOUNDATION
FOR SECURE
MARKETS**

#48749

Date: MAY 21, 2021

Subject: Trading Halt/Removal From Ex-By-Ex Processing/Expiration Summary

EXPIRING SERIES OF THE OPTIONS LISTED BELOW WILL NOT BE SUBJECT TO OCC'S AUTOMATIC EXERCISE THRESHOLDS IN STANDARD MAY 2021 EXPIRATION PROCESSING.

Holders of long positions in these affected options will need to make independent determinations of the value of the option deliverable in deciding to exercise, or not exercise, any expiring long position. If positive instructions to exercise are not submitted to OCC by the clearing member, expiring positions will not be exercised.

Option Symbol	Security	Reason Out Of Ex-By-Ex
PDLI/PDLI2	PDL BioPharma, Inc. (PDLI)	Security not trading
CHU	China Unicom Limited (CHU)	Exercises not allowed
CHL	China Mobile Limited (CHL)	Exercises not allowed
CEO	CNOOC Limited (CEO)	Exercises not allowed
DOFQ1	Diamond Offshore Drilling, Inc. Warrants	Security not trading

NOTE: CHU, CHL, and CEO options cannot be exercised. For additional information about existing restrictions, see OCC Information Memos #48140, #48173, and #48359.

MEMBERS SHOULD ADVISE THEIR CUSTOMERS TO TAKE THE FOLLOWING CONSIDERATIONS INTO ACCOUNT IN DECIDING TO EXERCISE, OR NOT TO EXERCISE, THESE OPTIONS.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email investorservices@theocc.com. Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.