



**THE FOUNDATION
FOR SECURE
MARKETS**

#48637

Date: April 28, 2021

Subject: Star Peak Energy Transition Corporation – Name/Symbol/CUSIP
Change
Option Symbol: STPK
New Symbol: STEM
Date: 04/29/2021

Star Peak Energy Transition Corporation (STPK) will change its name, trading symbol, and CUSIP to Stem, Inc. (STEM), CUSIP 85859N102 effective April 29, 2021. As a result, option symbol STPK will also change to STEM effective at the opening of business on April 29, 2021.

Strike prices and all other option terms will not change. Clearing Member input to OCC must use the new option symbol STEM commencing April 29, 2021.

Date: April 29, 2021

Option Symbol: STPK changes to STEM

Underlying Security: STPK changes to STEM

Contract Multiplier: 1

Strike Divisor: 1

New Multiplier: 100

Deliverable Per Contract: 100 Stem, Inc. (STEM) Common Shares

CUSIP: 85859N102

Disclaimer

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email investorservices@theocc.com. Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.