



**THE FOUNDATION
FOR SECURE
MARKETS**

#48613

Date: April 21, 2021

Subject: Capstone Turbine Corporation – Name/Symbol Change
Option Symbol: CPST
New Symbol: CGRN
Date: 04/22/2021

Capstone Turbine Corporation (CPST) will change its name, and trading symbol to Capstone Green Energy Corporation (CGRN) effective April 22, 2021. As a result, option symbol CPST will also change to CGRN effective at the opening of business on April 22, 2021.

Strike prices and all other option terms will not change. Clearing Member input to OCC must use the new option symbol CGRN commencing April 22, 2021.

Date: April 22, 2021

Option Symbol: CPST changes to CGRN

Underlying Security: CPST changes to CGRN

Contract Multiplier: 1

Strike Divisor: 1

New Multiplier: 100

Deliverable Per Contract: 100 Capstone Green Energy Corporation (CGRN) Common Shares

CUSIP: 14067D508

Disclaimer

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For

both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email investorservices@theooc.com. Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theooc.com.