

#48482

Date: March 24, 2021

Subject: Sony Corporation – Name/Symbol Change

Option Symbol: SNE New Symbol: SONY Date: 04/01/2021

Sony Corporation (SNE) will change its name, and trading symbol to Sony Group Corporation (SONY) effective April 1, 2021. As a result, option symbol SNE will also change to SONY effective at the opening of business on April 1, 2021.

Strike prices and all other option terms will not change. Clearing Member input to OCC must use the new option symbol SONY commencing April 1, 2021.

Date: April 1, 2021

Option Symbol: SNE changes to SONY

Underlying

Security: SNE changes to SONY

Contract

Multiplier: 1

Strike Divisor: 1

New Multiplier: 100

Deliverable

Per Contract: 100 Sony Corporation (SONY) American Depositary Shares

CUSIP: 835699307

Disclaimer

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For

both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email investorservices@theocc.com. Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.