



**THE FOUNDATION  
FOR SECURE  
MARKETS**

**#48183**

**TO: ALL CLEARING MEMBERS**

**DATE: JANUARY 19, 2021**

**SUBJECT: REMINDER: SFTP IP WHITELIST REQUIREMENT**

For any customer of OCC who connects to OCC via SFTP over the internet to send or receive files, you are required to contact OCC when intending to utilize a new IP address to connect via SFTP. Per OCC security policies, if the IP address is not whitelisted, the connection will not be viable.

If you do require a new IP address to be whitelisted, please contact your Member Services Representative with a request to add the associated IPs required to facilitate your connection.

If you have any questions regarding this memorandum, please contact the Member Services Help Desk at the following numbers: 800-544-6091 or 800-621-6072. Within Canada, please call 800-424-7320. Clearing members may also e-mail OCC at [memberservices@theocc.com](mailto:memberservices@theocc.com).