



**DATE: JANUARY 15, 2021**

**SUBJECT: TRADING HALT/REMOVAL FROM EX-BY-EX  
PROCESSING/EXPIRATION PRICING CONSIDERATIONS**

**EXPIRING SERIES OF THE OPTIONS LISTED BELOW WILL NOT BE SUBJECT TO OCC'S  
AUTOMATIC EXERCISE THRESHOLDS IN STANDARD JANUARY 2021 EXPIRATION PROCESSING.**

**Holders of long positions in these affected options will need to make independent determinations of the value of the option deliverable in deciding to exercise, or not exercise, any expiring long position.** If positive instructions to exercise are not submitted to OCC by the clearing member, expiring positions will not be exercised.

For purposes of calculating a price for use in expiration processing, OCC will use the prices listed below. **But, as stated above, automatic exercise thresholds will not apply.**

**NOTE: CHU and CHL options cannot be exercised. For addition information about existing restrictions see information memos #48140 and #48173.**

Security Name	Option Symbol	Date Halted	Last Known Price
Gold Resource Corporation (Spinco)	GORO1	12/24/2020	N/A
Orchids Paper Products Company	TISUQ	2/28/2020	\$0.0043
PDL BioPharma, Inc.	PDLI/PDLI2	12/31/2020	N/A
LINE Corporation	LN	12/28/2020	\$51.98
China Unicom Limited	CHU	1/11/2021	N/A
China Mobile Limited	CHL	1/11/2021	N/A

**MEMBERS SHOULD ADVISE THEIR CUSTOMERS TO TAKE THE FOLLOWING CONSIDERATIONS INTO ACCOUNT IN DECIDING TO EXERCISE, OR NOT TO EXERCISE, THESE OPTIONS.**

**ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.**

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email [investorservices@theocc.com](mailto:investorservices@theocc.com). Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email [memberservices@theocc.com](mailto:memberservices@theocc.com).

