



**THE FOUNDATION  
FOR SECURE  
MARKETS**

**#48118**

**DATE: JANUARY 5, 2021**  
**SUBJECT: REMOVAL OF STOCK LOAN AND COLLATERAL RESTRICTIONS (CHA, CHL, CHU)**

OCC published Information Memo #48114 on January 4<sup>th</sup>, 2021, noting the announcement that certain securities would be delisted from U.S. exchanges as a result of Executive Order 13959 and such securities would no longer be eligible for stock loan or collateral pledge. Due to recent regulatory guidance indicating that these securities are not currently subject to the prohibitions of the EO, the stock loan and collateral restrictions announced in Information Memo #48114 have been removed and the securities noted below will continue to be eligible for stock loan and collateral pledge at OCC as of Tuesday, January 5, 2021.

If you have any questions regarding this memo, please contact your firm's designated credit risk representative.

<u>Security Name</u>	<u>Symbol</u>	<u>CUSIP / CINS</u>
China Telecom Corp LTD	CHA	169426103
China Mobile Limited	CHL	16941M109
China Unicom LTD	CHU	16945R104

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email [investorservices@theocc.com](mailto:investorservices@theocc.com). Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email [memberservices@theocc.com](mailto:memberservices@theocc.com).