



**THE FOUNDATION  
FOR SECURE  
MARKETS**

**#48114**

**DATE: JANUARY 4, 2021**

**SUBJECT: STOCK LOAN AND COLLATERAL RESTRICTIONS (CHA, CHL, CHU)**

Due to the impending delisting of certain securities from U.S. exchanges as a result of Executive Order 13959, the securities listed below will no longer be eligible for stock loan or collateral pledge at OCC as of Monday, January 4, 2021 and until further notice. Only share-reducing transactions will be allowed on outstanding stock loan and borrow positions; additionally, any stock loan inventory remaining in these securities will be removed on Thursday, January 7, 2021. Any equity pledges for collateral purposes will receive no value. If you have any questions regarding this memo, please contact your firm's designated credit risk representative.

<b><u>Security Name</u></b>	<b><u>Symbol</u></b>	<b><u>CUSIP / CINS</u></b>
China Telecom Corp LTD	CHA	169426103
China Mobile Limited	CHL	16941M109
China Unicom LTD	CHU	16945R104

**ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.**

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email [investorservices@theocc.com](mailto:investorservices@theocc.com). Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email [memberservices@theocc.com](mailto:memberservices@theocc.com).