



**THE FOUNDATION
FOR SECURE
MARKETS**

#47915

DATE: NOVEMBER 27, 2020

SUBJECT: UNILEVER PLC – POSITION CONSOLIDATION
ADJUSTED OPTION SYMBOLS: UL1/2UL1
CONSOLIDATION EFFECTIVE DATE: 12/1/20

On November 30, 2020, the deliverable of Unilever N.V. (option symbols UN/2UN) options will be adjusted (See Information Memo #47914). The new deliverable for UL1/2UL1 options will become 100 Unilever PLC (UL) American Depositary Shares.

Unilever PLC (option symbol UL) also delivers 100 Unilever PLC (UL) American Depositary Shares. Consequently, OCC will consolidate all UL1/2UL1 options into UL/2UL, thereafter eliminating the trading symbols UL1/2UL1. The consolidation will be effective before the opening of business on December 1, 2020.

The position consolidations will take place after all positions processing Monday, November 30, 2020 and before the start of business on Tuesday, December 1, 2020.

All Clearing Member input of existing UL1/2UL1 options must use symbols UL/2UL for activity commencing Tuesday, December 1, 2020.

CONTRACT ADJUSTMENT

Effective Date:	December 1, 2020
Option Symbols:	UL1 becomes UL 2UL1 becomes 2UL
Contract Multiplier:	1
Strike Divisor:	1
New Multiplier:	100 (e.g., for premium or strike dollar extensions 1.00 will equal \$100)
New Deliverable Per Contract:	100 Unilever PLC (UL) American Depositary Shares
CUSIP:	904767704

DISCLAIMER

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by The OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email investorservices@theocc.com. Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.