



**THE FOUNDATION  
FOR SECURE  
MARKETS**

**#47885**

**TO: ALL CLEARING MEMBERS**

**DATE: NOVEMBER 23, 2020**

**SUBJECT: REMINDER: ACTIONABLE IDENTIFIER**

Starting December 8, 2020, the population of an Actionable Identifier for all customer and firm tier account trades is required. By now, carrying clearing members and their customers should have held a conversation with their executing brokers on an agreed upon value to be included on trades. It is imperative that these discussions have taken place or are taking place currently. If you have not done so already, please reach out to your executing brokers to ensure that an actionable value is included on cleared trades on or before December 8, 2020.

Clearing Members must also establish policies and procedures reasonably designed to include sufficient information in the Actionable Identifier field regarding the account that originated the trade.

If you have any questions regarding this memorandum, please contact the Member Services Help Desk at the following numbers: 800-544-6091 or 800-621-6072. Within Canada, please call 800-424-7320. Clearing members may also e-mail OCC at [memberservices@theocc.com](mailto:memberservices@theocc.com).