



**THE FOUNDATION
FOR SECURE
MARKETS**

#47764

TO: ALL CLEARING MEMBERS

DATE: OCTOBER 28, 2020

SUBJECT: REMINDER: ACTIONABLE IDENTIFIER

The second implementation date for Actionable Identifier is December 8, 2020 and requires Clearing Members to continue populating the Actionable Identifier field on all customer and firm securities option trades. In addition, Clearing Members must have policies and procedures in place which are reasonably designed to include sufficient information in the Actionable Identifier field to allow the Clearing Member receiving the Actionable Identifier to promptly clear the transaction.

Prior to this deadline, clearing firms should make sure that the identifiers they are receiving are actionable and contact their execution firms if updates are needed. Please review your trades and reach out to your executing brokers to ensure that an actionable value is included on cleared trades on or before December 8, 2020.

If you have any questions regarding this memorandum, please contact the Member Services Help Desk at the following numbers: 800-544-6091 or 800-621-6072. Within Canada, please call 800-424-7320. Clearing members may also e-mail OCC at memberservices@theooc.com.