



DATE: OCTOBER 14, 2020

SUBJECT: ACCELERATION OF EXPIRATIONS/OCTOBER 2020 EXPIRATION

Pursuant to OCC Rule 807, equity stock option contracts whose deliverables are adjusted to call for cash-only delivery will be subject to **an acceleration of the expiration dates for outstanding option series**. Additionally, **the exercise by exception (“ex by ex”) threshold for expiring series will be \$.01 in all account types for all expiration months of the adjusted options subject to accelerated expiration**.

As indicated below, a number of equity options that have been adjusted for cash-only delivery will have their series expiration dates advanced to **October 16, 2020**. These series will utilize the \$.01 exercise threshold.

<u>Company Name (Info Memo #)</u>	<u>Symbol</u>	<u>Expiration Date</u>	<u>New Expiration Date</u>	<u>Threshold</u>
AIMMUNE THERAPEUTICS, INC. (47711)	AIMT	All Months	10/16/2020	\$0.01
CENTRIC BRANDS INC. (47709)	CTRCQ	All Months	10/16/2020	\$0.01
CENTRAL EUROPEAN MEDIA ENTERPRISES LTD. (47708)	CETV	All Months	10/16/2020	\$0.01
AKCEA THERAPEUTICS, INC. (47701)	AKCA	All Months	10/16/2020	\$0.01
ENDOLOGIX, INC. (47671)	ELGXQ	All Months	10/16/2020	\$0.01
INNERWORKINGS, INC. (47660)	INWK	All Months	10/16/2020	\$0.01
MOMENTA PHARMACEUTICALS, INC. (47659)	MNTA	All Months	10/16/2020	\$0.01
PFENEX INC. (47658)	PFNX	All Months	10/16/2020	\$0.01
CRAFT BREW ALLIANCE, INC. (47653)	BREW	All Months	10/16/2020	\$0.01
ASTA FUNDING, INC. (47648)	ASFI	All Months	10/16/2020	\$0.01
PRINCIPIA BIOPHARMA INC. (47642)	PRNB	All Months	10/16/2020	\$0.01
WINDSTREAM HOLDINGS, INC. (47618)	WINMQ	All Months	10/16/2020	\$0.01
58.COM INC. (47611)	WUBA	All Months	10/16/2020	\$0.01

DISCLAIMER

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment

decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email investorservices@theocc.com. Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.