



**THE FOUNDATION  
FOR SECURE  
MARKETS**

**#47585**

**DATE: September 15, 2020**

**SUBJECT: OCX.NoDivRisk CONTRACT ADJUSTMENTS FOR CASH DIVIDENDS**

**EX -DATE: 09/16/2020**

OCC has been advised by OneChicago that the following OCX.NoDivRisk Futures will be ex-dividend as described below:

Symbol	Adjustment	ExDate
AAN1D	-0.04	20200916
AAN3F	-0.04	20200916
AAN3H	-0.04	20200916
AAN3W	-0.04	20200916
AIG1D	-0.32	20200916
AIG3F	-0.32	20200916
AIG3H	-0.32	20200916
AIG3W	-0.32	20200916
ES1D	-0.5675	20200916
ES3F	-0.5675	20200916
ES3H	-0.5675	20200916
ES3W	-0.5675	20200916
HBAN1D	-0.15	20200916
HBAN3F	-0.15	20200916
HBAN3H	-0.15	20200916
HBAN3W	-0.15	20200916
HRZN1D	-0.1	20200916
LPT2D	-0.3886	20200916
PFLT1D	-0.095	20200916
PLD1D	-0.58	20200916
PLD3F	-0.58	20200916
PLD3H	-0.58	20200916
PLD3W	-0.58	20200916
PNNT1D	-0.12	20200916
SFL1D	-0.25	20200916

SNV1D	-0.33	20200916
SWBI1D	-0.05	20200916
SWBI2D	-0.05	20200916

## **DISCLAIMER**

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by a panel of The OCC Securities Committee pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The adjustment panel is comprised of representatives from OCC and each exchange which trades the affected option. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC ByLaws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

**ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.**

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email [investorservices@theocc.com](mailto:investorservices@theocc.com). Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email [memberservices@theocc.com](mailto:memberservices@theocc.com).