



**THE FOUNDATION
FOR SECURE
MARKETS**

#47518

TO: ALL CLEARING MEMBERS

DATE: AUGUST 31, 2020

SUBJECT: ACTIONABLE IDENTIFIER –IMPLEMENTATION DATE REMINDER

The first implementation date for Actionable Identifier is September 8, 2020 which requires Clearing Members to populate the Actionable Identifier field on all customer and firm securities option trades.

Implementation Schedule

Date	Required Action
September 8, 2020	Clearing Members will be required to populate the Actionable Identifier field on all customer and firm securities option trades, other than market-maker trades. Clearing Members should have in place policies and procedures reasonably designed to require Actionable Identifiers. However, OCC would not treat the failure to have such policies and procedures as a violation of Rule 401.
December 7, 2020	Clearing Members will remain required to populate the Actionable Identifier field on all customer and firm securities option trades, other than market-maker trades. Clearing Members must have policies and procedures in place reasonably designed to require Actionable Identifiers.

Please see Information Memo #[46453](#) or the Actionable Identifier [FAQs](#) on OCC's website for more information.

If you have any questions regarding this information memo, please contact the Member Services Help Desk at the following numbers: 800-544-6091 or 800-621-6072. Within Canada, please call 800-424-7320. Clearing members may also e-mail OCC at memberservices@theocc.com.