



**THE FOUNDATION  
FOR SECURE  
MARKETS**

**#47482**

**DATE: AUGUST 21, 2020**

**SUBJECT: STEIN MART, INC. - SYMBOL CHANGE**  
**OPTION SYMBOL: SMRT**  
**NEW SYMBOL: SMRTQ**  
**DATE: 8/24/20**

The Options Clearing Corporation (OCC) has been informed that Stein Mart, Inc. (SMRT) will change its trading symbol to SMRTQ, effective August 24, 2020, due to the listing of the company on an OTC market. As a result, option symbol SMRT will change to SMRTQ effective at the opening of business on August 24, 2020.

Strike prices and all other option terms will not change. Clearing Member input to OCC must use the new option symbol SMRTQ commencing August 24, 2020.

DATE: August 24, 2020.

OPTION SYMBOL: SMRT changes to SMRTQ

UNDERLYING SECURITY: SMRT changes to SMRTQ

CONTRACT MULTIPLIER: 1

STRIKE DIVISOR: 1

NEW MULTIPLIER: 100

DELIVERABLE PER CONTRACT: 100 Stein Mart, Inc. (SMRTQ) Common Shares

CUSIP: 858375108

**DISCLAIMER**

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For

both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email [investorservices@theocc.com](mailto:investorservices@theocc.com). Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email [memberservices@theocc.com](mailto:memberservices@theocc.com).