



**THE FOUNDATION
FOR SECURE
MARKETS**

#47377

DATE: AUGUST 3, 2020

SUBJECT: ASCENA RETAIL GROUP, INC. - SYMBOL CHANGE
OPTION SYMBOL: ASNA
NEW SYMBOL: ASNAQ
ADJUSTED SYMBOL: ASNA1
DATE: 8/4/20

Ascena Retail Group, Inc. (ASNA) will change its trading symbol to ASNAQ, effective August 4, 2020, due to the listing of the company on an OTC market.

Strike prices and all other option terms will not change. Clearing Member input to OCC must use the new option symbols ASNAQ/ASNA1 commencing August 4, 2020.

DATE: August 4, 2020

OPTION SYMBOLS: ASNA changes to ASNAQ
ASNA1 remains ASNA1 (ASNA options were adjusted on December 19, 2019 (See OCC Information Memo #46195))

UNDERLYING SECURITY: ASNA changes to ASNAQ

CONTRACT MULTIPLIER: 1

STRIKE DIVISOR: 1

NEW MULTIPLIER: 100

DELIVERABLE PER CONTRACT: **ASNAQ**
100 Ascena Retail Group, Inc. (ASNAQ) Common Shares
ASNA1
5 Ascena Retail Group, Inc. (ASNAQ) Common Shares

CUSIP: 04351G200

DISCLAIMER

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email investorservices@theocc.com. Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.