



**THE FOUNDATION
FOR SECURE
MARKETS**

#47157

DATE: JUNE 16, 2020

SUBJECT: PETROCHINA COMPANY LIMITED - CASH DISTRIBUTION
OPTION SYMBOL: PTR
NEW OPTION SYMBOL: PTR1
DATE: 6/19/20

Petrochina Company Limited (PTR) has announced a Special Cash Dividend of approximately \$0.333319, less withholding tax and applicable fees, if any, per PTR American Depositary Share. The record date is June 22, 2020; payable date is August 10, 2020. The ex-distribution date for this distribution will be June 19, 2020.

OPTIONS CONTRACT ADJUSTMENT

EFFECTIVE DATE: June 19, 2020

NEW MULTIPLIER: 100 (e.g., for premium extensions a premium of 1.50 equals \$150; a strike of 35 yields \$3,500.00).

CONTRACT MULTIPLIER: 1

STRIKE PRICES: No Change

OPTION SYMBOL: PTR changes to PTR1

DELIVERABLE PER CONTRACT:

- 1) 100 Petrochina Company Limited (PTR) American Depositary Shares
- 2) Approximately \$33.33 Cash (100 x \$0.333319), less withholding tax and applicable fees, if any

CUSIP: 71646E100

PRICING

Until the final net cash dividend amount is determined, the underlying price for PTR1 will be determined as follows:

$$\text{PTR1} = \text{PTR} + 0.3333$$

DELAYED SETTLEMENT

The PTR component of the PTR1 deliverable will settle through National Securities Clearing Corporation (NSCC). OCC will delay settlement of the cash portion of the PTR1 deliverable until exact cash amount is determined. Upon determination of the exact cash amount, OCC will require Put exercisers and Call assignees to deliver the appropriate cash amount.

DISCLAIMER

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email investorservices@theocc.com. Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.