



**THE FOUNDATION
FOR SECURE
MARKETS**

#47085

TO: ALL CLEARING MEMBERS

DATE: JUNE 4, 2020

SUBJECT: ACTIONABLE IDENTIFIER – CONFIRMED IMPLEMENTATION DATE

OCC has completed all regulatory requirements and can confirm the date by which Clearing Members will be required to populate and submit an Actionable Identifier on all customer and firm securities option trades, other than market-maker trades, has been extended to September 8, 2020.

Clearing Members should be aware that OCC will not modify the deadline for the implementation of policies and procedures reasonably designed to require Actionable Identifier information be provided. The deadline for policy and procedure implementation remains December 7, 2020.

Implementation Schedule

Date	Required Action
September 8, 2020	<p>Clearing Members will be required to populate the Actionable Identifier field on all customer and firm securities option trades, other than market-maker trades.</p> <p>Clearing Members should have in place policies and procedures reasonably designed to require Actionable Identifiers. However, OCC would not treat the failure to have such policies and procedures as a violation of Rule 401.</p>
December 7, 2020	<p>Clearing Members will remain required to populate the Actionable Identifier field on all customer and firm securities option trades, other than market-maker trades.</p> <p>Clearing Members must have policies and procedures in place reasonably designed to require Actionable Identifiers.</p>

Please see Information Memo #[46453](#) or the Actionable Identifier [FAQs](#) on OCC's website for more information.

If you have any questions regarding this information memo, please contact the Member Services Help Desk at the following numbers: 800-544-6091 or 800-621-6072. Within Canada, please call 800-424-7320. Clearing members may also e-mail OCC at memberservices@theooc.com.