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DATE: AUGUST 26, 2014

SUBJECT: ASCENT SOLAR TECHNOLOGIES, INC. – REVERSE SPLIT OPTION SYMBOL: ASTI NEW SYMBOL: ASTI1 DATE: 8/27/14

Ascent Solar Technologies, Inc. (ASTI) has announced a 1-for-10 reverse stock split. As a result of the reverse stock split, each ASTI Common Share will be converted into the right to receive 0.10 (New) Ascent Solar Technologies, Inc. Shares. The reverse stock split will become effective before the market open on August 27, 2014.

## **CONTRACT ADJUSTMENT**

Effective Date:	August 27, 2014
Option Symbol:	ASTI changes to ASTI1
Contract Multiplier:	1
Strike Divisor:	1
New Multiplier:	100 (e.g., for premium or strike dollar extensions 1.00 will equal \$100)
New Deliverable Per Contract:	10 (New) Ascent Solar Technologies, Inc. (ASTI) Common Shares
CUSIP:	ASTI (New): 043635309

## **PRICING**

The underlying price for ASTI1 will be determined as follows:

ASTI1 = .0.10 (ASTI)

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The determination to adjust options and the nature of any adjustment is made by a panel of The OCC Securities Committee pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The adjustment panel is comprised of representatives from OCC and each exchange which trades the affected option. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 25, or 25A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

For questions regarding this memo, call 1-888-678-4667 or email investorservices@theocc.com.