



The Options Clearing Corporation

#12756

Back to Infomemo Search

DATE: December 15, 1998

SUBJECT: NOMINATIONS FOR THE OPTIONS CLEARING CORPORATION BOARD OF DIRECTORS AND MEMBERS OF THE 2000-2001 NOMINATING COMMITTEE

Please be advised that the Nominating Committee will meet on January 19, 1999 at the offices of the Corporation, pursuant to the By-Laws and Rules of The Options Clearing Corporation ("OCC"). During the course of the meeting, the Committee will review all nominations from the Clearing Membership for one Clearing Member Designee for OCC Member Director, whose term will commence April, 1999 and end April, 2000 and three Clearing Member Designees for OCC Member Directors, whose terms will commence as of April, 1999 and end April, 2002.

Finally, the Nominating Committee will review any nominees for the three Class I Nominees for the 2000-2001 Nominating Committee. The term of the Class I Nominee Members of the 2000-2001 Nominating Committee will commence April, 1999 and end April, 2001. You should note that all nominations for the OCC's Board of Directors and for the Nominating Committee must be the Designee of the Clearing Member. I have attached a listing of the Clearing Member Designees to assist you.

Please forward any and all nominations for the Clearing Member Directors or for the Nominating Committee either to:

James C. Yong
Secretary
The Options Clearing Corporation
440 S. LaSalle Street, Suite 2400
Chicago, IL 60605

or to the members of the 1999-2000 Nominating Committee. For your convenience, the name and address for the members of the 1999-2000 Nominating Committee are:

<p>J. Michael Connelly BT Alex. Brown Securities, Inc. 375 West Padonia Road Timonium, MD 21093</p> <p>William Cousins A.B. Financial L.L.C. Suite 1200 401 South LaSalle Street Chicago, IL 60605</p>	<p>William T. Spane BHC Securities, Inc. 2005 Market Street One Commerce Square Philadelphia, PA 19103</p> <p>James C. Tyrre Mesirow Financial, Inc. 350 North Clark Street Chicago, IL 60610</p> <p>Cynthia Zeltwanger FIMAT Futures USA, Inc. Suite 2450 181 West Madison Chicago, IL 60602</p>
Class I/term expires April, 1999	Class II/term expires April, 2000

If you have any questions concerning the nominees for directorship or Nominating Committee, please feel free to contact me at (312)322-4451.

/dlt
nc99inf.doc

Mr. William Cousins
A.B. Financial L.L.C.
401 South LaSalle Street
Suite 1200
Chicago, IL 60605

Mr. Ronald J. Kessler
A.G. Edwards & Sons, Inc.
One North Jefferson Avenue
St. Louis, Missouri 63103

Mr. John C. Harris
ABN AMRO Incorporated
208 South LaSalle Street
Chicago, IL 60604

Mr. C. Mark Bold
ABN AMRO Sage Corporation
220 Bush Street, Suite #660
San Francisco, CA 94104

Mr. Kurt D. Halvorson
Advanced Clearing, Inc.
4211 S. 102 St.
P.O. Box 2226
Omaha, NE 68103-2226

Mr. Warren F. Pavlik
Advest, Inc.
40 Rector Street
New York, NY 10006

Mr. Thomas J. Kennedy
Alpine Associates
120 Broadway
Suite 913
New York, NY 10271

Mr. Charles J. Rodriguez
Arnhold and S. Bleichroeder, Inc.
1345 Avenue of the Americas
New York, NY 10105

Mr. Michael Hont
Asiel & Co. L.L.C.
20 Broad Street
New York, NY 10005

Mr. Jeffrey Bernstein
Bear, Stearns Securities Corporation
245 Park Avenue
New York, NY 10167

Mr. Bernard L. Madoff
Bernard L. Madoff Investment
Securities
885 Third Avenue, 18th Floor
New York, NY 10022

Mr. William T. Spane
BHC Securities, Inc.
1 Commerce Square
2005 Market Street, Suite 1200
Philadelphia, PA 19103

Mr. Chris Viegas
BHF Securities Corporation
590 Madison Avenue
New York, NY 10022

Mr. Thomas J. Mahoney
BNP Securities (U.S.A.), Inc.
3 Radnor Corporate Center
Radnor, PA 19087

Mr. Edward L. Ellis
BNY ESI & Co., Inc.
1633 Broadway
New York, NY 10019

Mr. Frank O'Connor
Brown & Company Securities
Corporation
One Beacon Street
Boston, MA 02108

Mr. J. Michael Connelly
BT Alex. Brown Incorporated
375 West Padonia Road
Timonium, MD 21093

Mr. Richard L. Christensen
Burke, Christensen and Lewis
Securities, Inc.
303 West Madison Street, 4th Floor
Chicago, IL 60606

Mr. Michael J. Monahan
Carr Futures, Inc.
10 South Wacker Drive, Suite #1125
Chicago, IL 60606

Mr. John Tognino
Charles Schwab & Co., Inc.
The Schwab Building
101 Montgomery Street, 28th Floor
San Francisco, CA 94104

Ms. Susan H. VanHorn
Chase Futures & Options, Inc.
10 South LaSalle Street
Chicago, IL 60603

Mr. Michael Schwartz
CIBC Oppenheimer Corp
Oppenheimer Tower
World Financial Center, 200 Liberty
Street
New York, NY 10281

Mr. G. W. K. Kleberg
CIBC Wood Gundy Securities, Inc.
BCE Place, 161 Bay Street
Toronto, Ontario M5J 2S8

Mr. Ashok V. Bhatia
Citicorp Securities Services, Inc.
111 Wall Street
New York, NY 10005

Mr. Gerald Romano
Commerzbank Capital Markets Corp.
1251 Avenue of the Americas
New York, NY 10020

Mr. Frank J. DeCongelio
Credit Suisse First Boston
Corporation
5 World Trade Center
7th Floor
New York, NY 10048

Ms. Michelle R. White
Dain Rausher Inc.
510 Marquette Ave South
Suite 1300
Minneapolis, Minnesota 55402

Mr. Thomas Anzalone
Daiwa Securities America Inc.
Financial Square
32 Old Slip
New York, NY 10005-3538

Mr. Tony Miliote
Dean Witter Reynolds Inc.
2 World Trade Center, 66th Floor
New York, NY 10048

Mr. Macario Lullo
Deutsche Morgan Grenfell Inc.
1290 Avenue of the Americas
New York, NY 10104-0101

Mr. Bruce Glaser
Deutsche Morgan Grenfell Inc.
1251 Avenue of the Americas
New York, NY 10020

Mr. Joseph J. Dattolo
DLJ Pershing Div
1 Pershing Plaza, 8th Floor
Jersey City, NJ 07399

Mr. Steven Wallace
Dreyfus Brokerage Services, Inc.
5757 Wilshire Blvd.
Los Angeles, CA 90036

Mr. Stephen Hetlinger
E-Trade Securities, Inc.
Four Embarcadero Place
2400 Geng Road
Palo Alto, CA 94301

Mr. Ned W. Bennett
E.D. & F Man International Inc.
440 S. LaSalle Street, 20th Floor
Chicago, IL 60605

Mr. William P. Behrens
Ernst & Co.
One Battery Park Plaza, 6th Floor
New York, NY 10004-1405

Mr. Thomas Grabowski
Everen Clearing Corp.
111 East Kilbourn
Milwaukee, WI 53202

Mr. Albert G. Lowenthal
Fahnestock & Co. Inc.
125 Broad Street
New York, NY 10004

Mr. Paul J. Geidel
Ferris, Baker Watts, Inc.
1720 Eye Street
Washington, DC 20006

Ms. Cynthia Zeltwanger
Fimat Futures USA, Inc.
181 West Madison Street
Suite 3450
Chicago, IL 60602

Mr. Frank Traylor
First Albany Corporation
30 South Pearl Street
Albany, NY 12207

Mr. W. Robert Felker
First Chicago Futures, Inc.
One First National Plaza, Suite 401
Chicago, IL 60670-0401

Mr. W. David Wood
First Marathon Securities Limited
The Exchange Tower
130 King Street West
Suite 3200, P.O. Box 21
Toronto, Ontario M5X 1J9

Mr. Leslie C. Quick III
Fleet Securities, Inc.
26 Broadway
New York, NY 10004

Mr. Peter A. Bailey
Gordon Capital Corporation
Box 67, Suite 5300
Toronto Dominion Centre
Toronto, Ontario M5K 1E7

Mr. John R. Stone
Hambrecht & Quist LLC
One Bush Street, 14th Floor
San Francisco, CA 94104

Mr. M. Blair Hull
Hull Trading Co., LLC
311 South Wacker Drive, Suite 1400
Chicago, IL 60606

Mr. Charles R. Hood
Instinet Corporation
757 Third Avenue
New York, NY 10017

Mr. Jay O'Brien
J.J.B. Hilliard, W.L. Lyons, Inc.
P.O. Box 32760
Louisville, Kentucky 40232

Mr. Rudy Gamboa
JB Oxford & Company
9665 Wilshire Blvd., 3rd Floor
Beverly Hills, CA 90212

Mr. Mark Criscitello
Kalb Voorhis & Co., LLC
27 William Street
New York, NY 10005

Mr. Mark Gannon
Lakeshore Securities, L.P.
401 South LaSalle Street
Chicago, IL 60605

Mr. Timothy R. Mullen
First Options of Chicago, Inc.
440 South LaSalle Street
Chicago, IL 60605

Mr. James C. Hicks
Freeman Welwood & Co., Inc.
1700 Century Square
1501 4th Avenue
Seattle, Washington 98101

Mr. G. J. O'Mahoney
Green Line Investor Services Inc.
P.O. Box 1
Toronto Dominion Centre
Toronto, Ontario M5K 1A2

Mr. Anthony T. Geraci
Herzog, Heine, Geduld, Inc.
525 Washington Blvd
Jersey City, NJ 07310

Mr. Howard Kotzen
ING (U.S.) Securities, Futures &
Options, Inc.
440 S. LaSalle Street, Suite 2800
Chicago, IL 60605

Mr. Lewis F. Semones
Interstate/Johnson Lane Corporation
P.O. Box 1012
Charlotte, NC 28201-1012

Mr. Leslie Durschinger
J.P. Morgan Securities Inc.
60 Wall Street, 18th Floor
New York, NY 10260

Mr. Jerry M. Gluck
Jeffries & Company, Inc.
11100 Santa Monica Boulevard
12th Fl.
Los Angeles, CA 90025

Mr. Kevin McHale
Kellner, DiLeo & Co.
900 3rd Avenue, 10th Floor
New York, NY 10022

Mr. D. Stuart Bowers
Legg Mason Wood Walker, Inc.
100 Light Street
P.O. Box 1476
Baltimore, MD 21203-1476

Mr. George A. Johnson
Fiserv Correspondent Services, Inc.
1125 17th Street, Suite 1700
Denver, Colorado 80202

Mr. Anthony J. Monaco
Goldman, Sachs & Co.
85 Broad Street, 9th Floor
New York, NY 10004

Mr. Thomas W. Grant
H.G. Wellington & Co., Inc.
14 Wall Street
New York, NY 10005

Mr. Richard Terrell
Howe Barnes Investments, Inc.
135 S. LaSalle Street
Suite 1500
Chicago, IL 60603

Mr. Joseph F. Antizzo
Ingalls & Snyder L.L.C.
61 Broadway
New York, NY 10006

Mr. C. Taxon Malott
J.C. Bradford & Co., L.L.C.
330 Commerce Street
Nashville, TN 37201

Mr. W. Gresham O'Malley, III
Janney Montgomery Scott Inc.
1801 Market Street
Philadelphia, PA 19103

Mr. Arnold Weinberg
JWGenesis Clearing Corp.
980 North Federal Highway #210
Boca Raton, FL 33432

Mr. Johnathan Groveman
Ladenburg, Thalmann & Co. Inc.
590 Madison Avenue
New York, NY 10022

Mr. Mark Sanborn
Lehman Brothers Inc.
3 World Financial Center
New York, NY 10285

Mr. Eugene Januszewski
Levesque Beaubien Geoffrion Inc.
1155 Metcalfe
Montreal, Quebec H3B 4S9

Mr. Michael Coulter
LFG, L.L.C.
141 W. Jackson Blvd.
Chicago, IL 60604

Mr. Joseph South
Maple Partners U.S.A. Inc.
101 Hudson Street
Jersey City, NJ 07302

Mr. Dennis J. Donnelly
McDonald & Company
Securities, Inc.
800 Superior Avenue, Suite 2100
Cleveland, OH 44114

Mr. Frank Mangiafico
Merrill Lynch Professional
Clearing Corp.
101 Hudson Street
Jersey City, NJ 07302

Mr. Fred Enriquez
Merrill Lynch, Pierce, Fenner &
Smith Incorporated
101 Hudson Street
Jersey City, NJ 07302-3997

Mr. James C. Tyree
Mesirow Financial, Inc.
350 North Clark Street
Chicago, IL 60610

Ms. Marie Martin
Midland Walwyn Capital, Inc.
22 Front Street West
Toronto, Ontario M5J 2W5

Mr. David Johnson
Morgan Stanley & Co. Incorporated
440 S. LaSalle Street
Chicago, IL 60605

Mr. Joseph C. Weller
Morgan, Keegan & Company, Inc.
Morgan Keegan Tower
50 Front Street
Memphis, Tennessee 38103

Mr. William F. Devin
National Financial Services
Corporation
161 Devonshire Street
Boston, Massachusetts 02110

Mr. Joseph Barra
National Investor Services Corp.
55 Water Street
New York, NY 10041

Mr. William Del Purgatorio
NationsBanc Montgomery
Securities LLC
600 Montgomery Street
San Francisco, CA 94111

Mr. Michael DiBenedetto
NationsBanc-CRT Services Inc.
233 South Wacker, Suite 2800
Chicago, IL 60606

Ms. Patricia Fitzgerald
Nesbitt Burns Inc.
100 King Street W.
Toronto, Ontario M5X 1H3

Mr. Herbert W. Ackerman
Neuberger & Berman, LLC
605 Third Avenue
New York, NY 10158

Mr. Dan Devine
Nomura Securities International, Inc.
2 World Financial Center, Bldg. B
New York, NY 10281-1198

Mr. William Floersch
O'Connor & Co. LLC
401 S. LaSalle Street
Chicago, IL 60605

Mr. Kenneth A. Madsen
Olde Discount Corporation
751 Griswold Street
Detroit, Michigan 48226

Mr. Michael D. Shaoul
Oscar Gruss & Son Incorporated
74 Broad Street
New York, NY 10004

Mr. Phil H. Ratanski
PaineWebber Incorporated
1000 Harbor Boulevard, 7th Floor
Weehawken, NJ 07087-6790

Mr. Collin Carrico
Pax Clearing Company L.P.
440 S. LaSalle Street
Chicago, IL 60605

Mr. Glenn McCrae
Preferred Technology, Inc.
220 Montgomery Street, Suite 777
San Francisco, CA 94104

Mr. Howard Blechman
Prime Dealer Services Corp.
One Pierrepont Plaza
Brooklyn, NY 11201

Mr. Richard A. Henderson
Prudential Securities Incorporated
1 New York Plaza, 13th Floor
New York, NY 10292

Mr. Dennis W. Zank
Raymond James & Associates, Inc.
The Raymond James Financial Center
880 Carillon Parkway
St. Petersburg, FL 33716

Mr. Terrance Delabastide
RBC Dominion Securities Corporation
180 Maiden Lane, 20th Floor
New York, NY 10005

Ms. Carol Scott
RBC Dominion Securities Inc.
Royal Bank Plaza
P.O. Box 50
Toronto, Ontario M5J 2W7

Mr. Santo C. Maggio
Refco Securities Inc.
One World Financial Center-Tower A
200 Liberty Street
New York, NY 10281

Mr. Gideon Pell
Republic New York Securities
Corporation
452 Fifth Ave.
New York, NY 10018

Mr. Peter DeNicola
Robb, Peck, McCooley Clearing
Corporation
20 Broad Street
New York, NY 10005

Mr. Ronald J. Kruszewski
Robert W. Baird & Co. Incorporated
777 East Wisconsin Avenue
Milwaukee, WI 53202

Mr. Joseph Brinker
Roney & Company
1 Griswold Street
Detroit, Michigan 48226

Mr. David C. Fisher
Salomon Smith Barney Inc.
7 World Trade Center, 40th Floor
New York, NY 10048

Mr. Walter Siebecker
Salomon Smith Barney Inc.
388 Greenwich Street
New York, NY 10013

Mr. Peter Tucci
Sanford C. Bernstein & Co., Inc.
One State Street Plaza
28th Floor
New York, NY 10004

Mr. Anthony Savoca
Schroder & Co. Inc.
Equitable Center
787 Seventh Avenue
New York, NY 10019-6016

Mr. Norman K.J. Graham
ScotiaMcLeod Inc.
40 King Street West
P.O. Box 4085, Station A
Toronto, Ontario M5W 2X6

Mr. David W. McMillan
Scott & Stringfellow Inc.
909 East Main Street
Richmond, Virginia 23219

Mr. Rodger O. Riney
Scottsdale Securities, Inc.
12855 Flushing Meadow Inc.
St. Louis, MO 63131

Mr. Anthony Bergamaschi
SG Cowen Securities Corp.
Financial Square
New York, NY 10005-3597

Mr. David Glatstein
Southwest Securities, Inc.
The Renaissance Tower
1201 Elm Street, Suite 4300
Dallas, Texas 75270-2180

Mr. Harvey Silverman
Spear, Leeds & Kellogg
120 Broadway
New York, NY 10271

Mr. Bruce A. Bennett
Stephens Inc.
111 Center Street
Little Rock, Arkansas 72201

Mr. Douglas W. Noll
Stifel, Nicolaus & Company,
Incorporated
500 North Broadway
St. Louis, MO 63102

Mr. Gerald Feldman
StockCross, Inc,
One Washington Mall
Boston, MA 02108

Mr. Salvatore R. DiDonna
Swiss American Securities Inc.
12 East 49th Street
New York, NY 10017

Mr. Barry E. Tague
Tague Securities Corp.
1900 Market Street, Suite 510
Philadelphia, PA 19103

Mr. Thomas P. Peterffy
Timber Hill LLC
Two Preswick Plaza
Greenwich, CT 06830

Mr. Michael Ryan
Tradelink L.L.C.
175 West Jackson Boulevard
Suite A1235
Chicago, IL 60604

Mr. Kenneth Cameranesi
U.S. Bancorp Piper Jaffray Inc.
Piper Jaffray Tower
222 South 9th Street
Minneapolis, Minnesota 55402

Mr. William S. Crews
Wachovia Investments, Inc.
301 North Main Street
Winston-Salem, NC 27012

Mr. Kenneth D. Krzak
Warburg Dillon Read LLC
677 Washington Blvd
Stamford, CT 06912

Mr. William A. Rogers
Wayne Hummer Investments LLC
300 South Wacker Drive
Chicago, IL 60606

Mr. Dennis J. LoPresti
Wedbush Morgan Securities, Inc.
P.O. Box 30014
Los Angeles, CA 90030

Mr. Francis H. Powers
Weiss, Peck & Greer L.L.C.
One New York Plaza
New York, NY 10004-1950

Mr. James E. Oliver
Wheat, First Securities, Inc.
901 East Byrd Street
Richmond, VA 23219